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IQWiG Herbsttagung, 25. Nov. 2011

DIAGNOSTIK UND LINKED EVIDENCE – WIE ROBUST MUSS DIE KETTE SEIN?





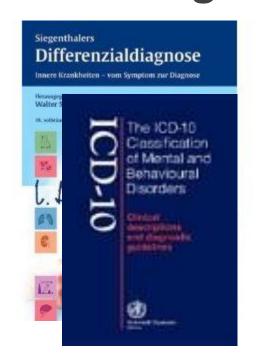
Disclosure



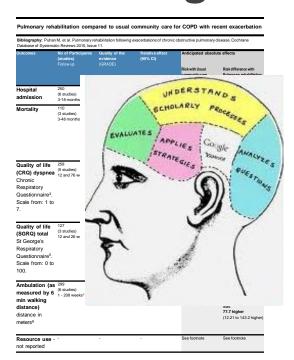
- Co-chair GRADE Working Group
- Leitlinienprojekte GRADE
 - American College of Physicians (ACP) Clinical Practice
 Guidelines Committee
 - American College of Chest Physicians (ACCP)
- Weltgesundheitsorganisation (WHO): Advisory
 Committee for Health Research, Leitlinien, Drittmittel
 für systematische Übersichtsarbeiten
- Keine direktes Einkommen von profitorientierten Unternehmen/Organisationen
- Dank an Kollegen (Drs. Jan Brozek & Reem Mustafa)

Übersicht Diagnostische Fragestellungen





Einführung



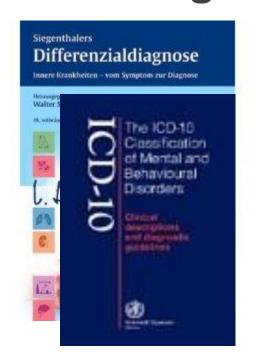
Evidenz & Beurteilungen



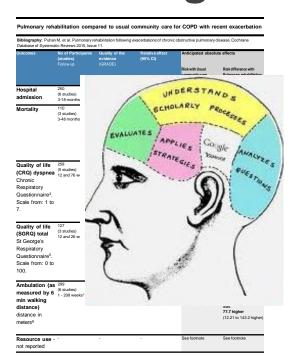
Empfehlungen & Implementierung

Übersicht Diagnostische Fragestellungen









Evidenz & Beurteilungen



Empfehlungen & Implementierung



Anwendung eines Tests

- Kliniker benutzen eine Reihe von Tests (oder Teststrategien), die "diagnostisch" genannt werden:
 - Symptome und Zeichen, bildgebende Verfahren,
 Laborparameter, pathologische und psychologische
 Befunde
- Wenige Tests sind wirklich diagnostisch (positiv oder negativ - Schwangerschaftstest)
 - Im allgemeinen verbunden mit Wahrscheinlichkeiten
- Für diesen Vortrag: vereinfachtes Modell (positiv und negativ)

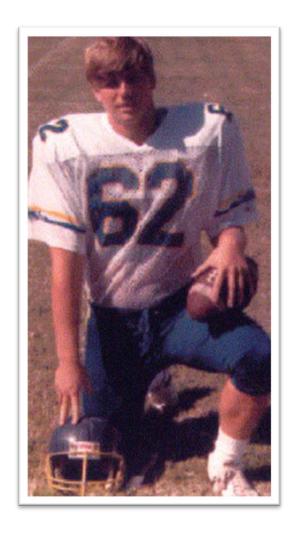


HUNTINGTONs CHOREA

Morbus Huntington



Scott Redford





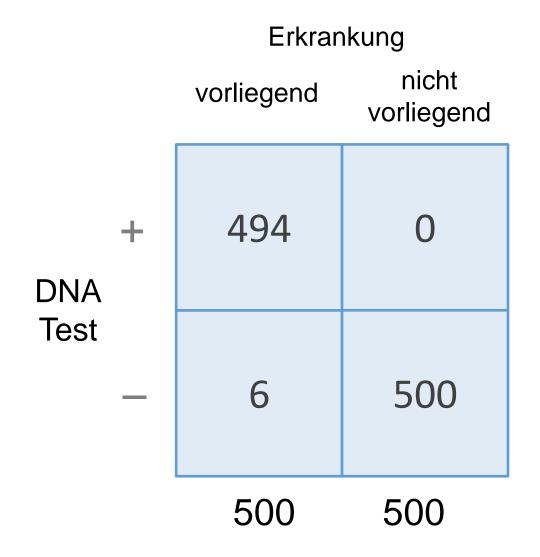
18 years

33 years

Sensitivität = 98.8% Spezifität = 100%



"pre-test" Wahrscheinlichkeit in Kindern = 50%







Würden Sie diesen genetischen Test für Kinder von betroffenen Patienten empfehlen?



Keine Prävention Keine effektive Behandlung





Würden Sie diesen genetischen Test für Kinder von betroffenen Patienten empfehlen?









Test accuracy ist ein Surrogatparameter für patientenrelevante Endpunkte

 Kliniker konzentrieren sich typischerweise auf 'test accuracy'/Testgüte

 Annahme: Diagnose führt zu besserer Behandlung oder endpunktübergreifendem Zusatznutzen

Patientennutzen sollte vorliegen

 Die Annahme auf der Basis von 'accuracy' Daten, dass ein Test patientenrelevante Endpunkte verbessert, erfordert das Vorhandensein von effektiven Behandlungsstrategien = linked evidence

• Inklusive:

- Verringerung von testgebundenen
 Nebenwirkungen
- Ausschluss von Erkrankungen oder Verminderung von Angst
- Bestätigung einer Diagnose verbessert
 Lebensqualität durch die prognostische
 Information, die vermittelt wird



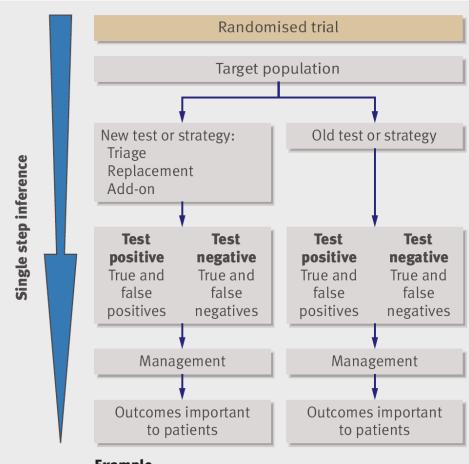
Studiendesigns in der Diagnoseerstellung

 Wenn ein Test patientenrelevante Endpunkte nicht verbessert, gibt es keinen Grund für seine Anwendung (unabhängig von seiner 'accuracy')

 Vernünftigste Verfahren, um ein Testverfahren zu evaluieren: randomisierte, kontrollierte Studien die Tests (mit Behandlung) gegeneinander vergleichen

Studiendesign I





Example

Randomised control trials (RCTs) explored a diagnostic strategy guided by the use of B type natriuretic peptide (BNP)—designed to aid diagnosis of heart failure—compared with no use of BNP in patients presenting to the emergency department with acute dyspnoea. ^{8 9} As it turned out, the group randomised to receive BNP spent a shorter time in the hospital at lower cost, with no increased mortality or morbidity

Endpunkte:

Mortalität

Morbidität

Nebenwirkungen

QoL

GRADE für Interventionen

und Behandlungen:

Qualitätsbeurteilung

Nutzen/Schaden/Werte/

Ressourcen

Empfehlung



BMJ 17 May 2008 Volume 336 | Page 1106-1110

Studiendesign II



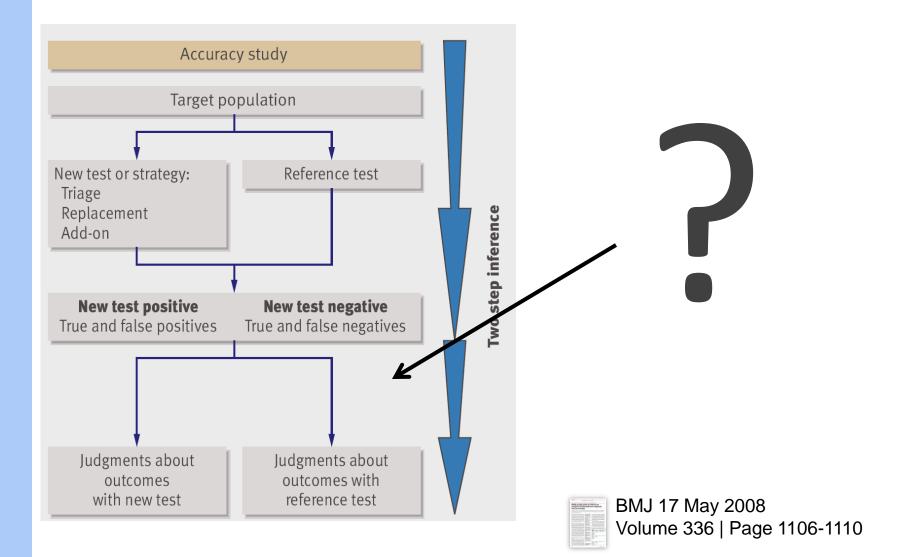
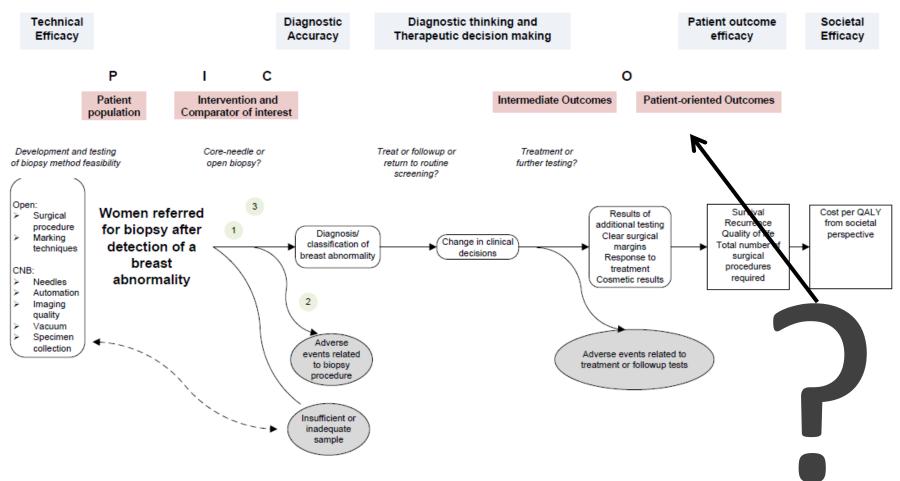




Figure 2-2. Example of an analytical framework within an overarching conceptual framework in the evaluation of breast biopsy techniques*



The numbers in the figure depict where the three key questions are located within the flow of the analytical framework.

'accuracy'

Sensitivität & Spezifität



Patientenrelevante

Konsequenzen

TP (behandelt...)

TN (vergewissert...)

FP (unnötigerweise behandelt...)

FN (nicht behandelt...)

Unklare Resultate

Komplikationen durch Test

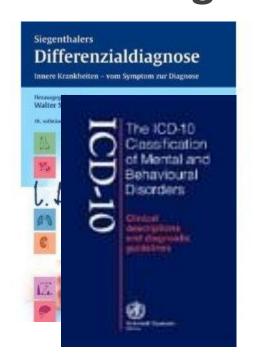
Ressourcenverbrauch



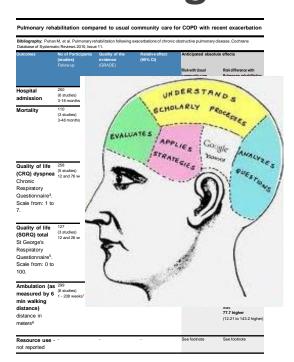


Übersicht Diagnostische Fragestellungen





Einführung



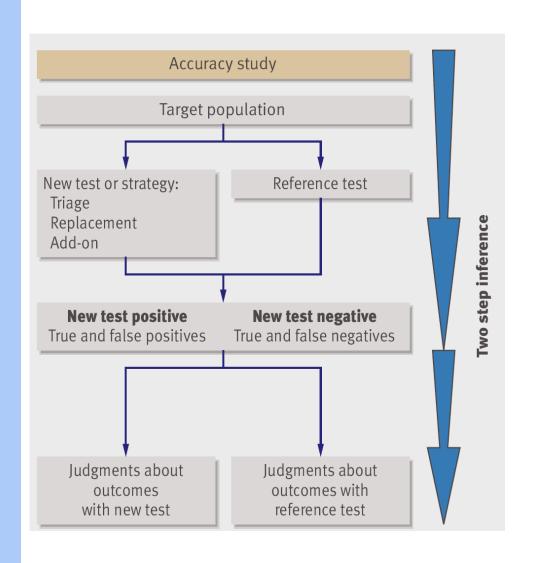
Evidenz & Beurteilungen



Empfehlungen & Implementierung

'Linked' Evidenz



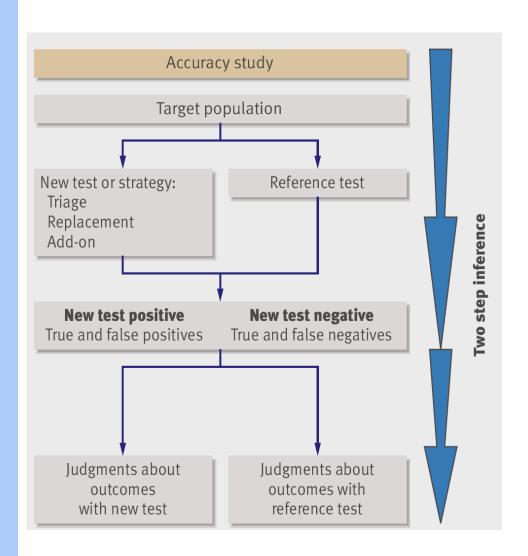


Systematische
Übersichtsarbeiten
GRADE für 'diagnostic
accuracy':
8 Qualitätsdomänen
Vertrauen in die
Effektschätzer

Vertrauen in die Konsequenzen

'Linked' Evidenz





Hohe/gute Qualität

Directness: Surrogat – patientenrelevante Endpunkte?

Herunterstufen der Qualität?

Systematische Übersichtsarbeiten: Therapie, 'natural history'

Domains, sub-domains and items in a conceptual framework for decision modeling in diagnostic test studies

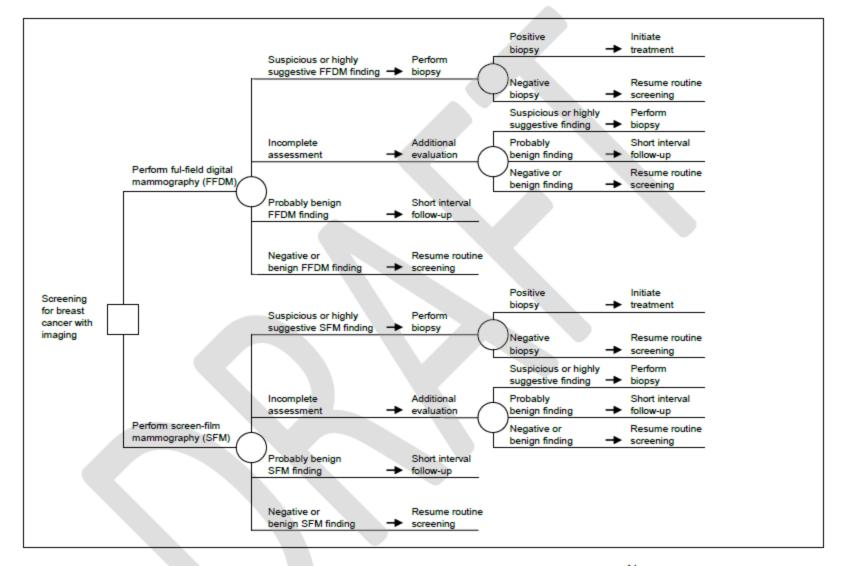


Domain	Sub-domain	Items *
Purpose	Triage	Screening
	Replacement	Diagnosis
	Add-on	Staging of disease
		Monitoring of treatment
		Monitoring of disease
Population		Pretest probability of a condition
		Any subgroups with different baseline risk or prevalence (co
		morbidities, patients' characteristicsetc)
		Stage of the disease
Intervention (test of interest, aka		Test's accuracy characteristics
index test)		Test's side effects
		Test benefits
		Cut-off points
		Resources required
		Inconclusive results
		Values and preferences
Comparison (reference test or		Test's accuracy characteristics
alternative test)		Test's side effects
		Test benefits
		Cut-off points
		Resources required
		Inconclusive results
		Values and preferences
Diagnostic test accuracy	Test +ve, sensitivity	TP & FP
outcomes	Test –ve, specificity	TN & FN
Patient outcomes	Treatment 1	Efficacy of available treatment
	Treatment 2	Rate of side effects of available treatment
	Treatment 3	Resource use with available treatment
	No treatment	Values and preferences
		Prognosis/natural course of condition
Quality of evidence	Criteria for downgrading	Risk of bias
		Inconsistency
		Imprecision

Decision modelling



Figure 2-3. Replacement test example: full-field digital mammography versus screen-film mammography*



^{*} Figure taken from Blue Cross and Blue Shield Association Technology Evaluation Center, 2002.¹⁴



World Allergy Organization

COWS MILK ALLERGY GUIDELINES

Workshop summary

Diagnosis and Rationale for Action against Cow's Milk Allergy (DRACMA): A summary report

Alessandro Fiocchi, MD, ^a Holger J. Schünemann, MD, PhD, ^b Jan Brozek, MD, ^b Patrizia Restani, PhD, ^c Kirsten Beyer, MD, ^d Riccardo Troncone, MD, ^e Alberto Martelli, MD, ^f Luigi Terracciano, MD, ^f Sami L. Bahna, MD, ^g Fabienne Rancé, MD, ^h Motohiro Ebisawa, MD, ^l Ralf G. Heine, MD, FRACP, ^l Amal Assa'ad, MD, ^k Hugh Sampson, MD, ^l Elvira Verduci, MD, ^m G. R. Bouygue, MSc, ^f Carlos Baena-Cagnani, MD, ⁿ Walter Canonica, MD, ^o and Richard F. Lockey, MD^p Milan, Naples, and Genoa, Italy, Hamilton, Ontario, Canada, Berlin, Germany, Shreveport, La, Toulouse, France, Kanagawa, Japan, Melbourne, Australia, Cincinnati, Ohio, New York, NY, Cordoba, Argentina, and Tampa, Fla

1120 FIOCCHI ET AL

Hsu et al. Implementation Science 2011, **6**:62 http://www.implementationscience.com/content/6/1/62 World Allergy Organization (WAO) Diagnosis and Rationale for Action against Cow's Milk Allergy (DRACMA) Guidelines

Alessandro Fiocchi, (Chair), Jan Brozek, Holger Schünemann, (Chair), Sami L. Bahna, Andrea von Berg, Kursten Beyer, Martin Bozzola, Julia Bradsher, Enrico Compalati, Motohiro Ebisawa, Maria Antonieta Guzman, Haiqi Li, Ralf G. Heine, Paul Ketth, Gideon Lack, Massimo Landi, Alberto Martelli, Fabienne Rancé, Hugh Sampson, Airton Stein, Luigi Terracciano, and Stefan Vieths

WAO Journal • April 2010

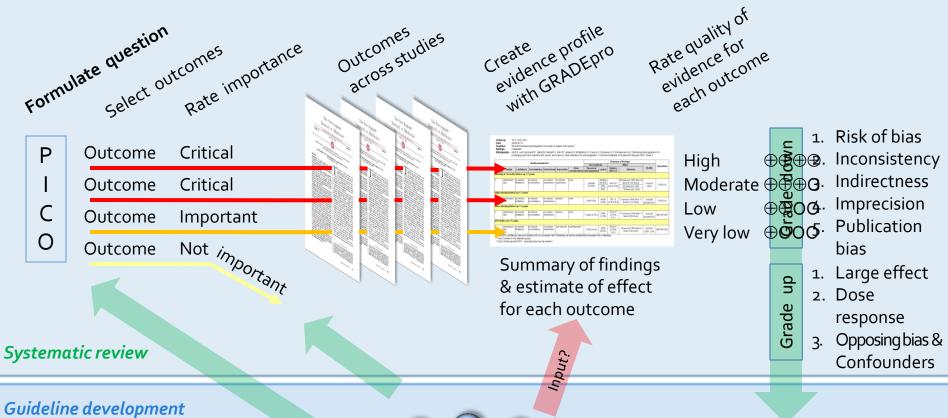


METHODOLOGY

Open Access

Application of GRADE: Making evidence-based recommendations about diagnostic tests in clinical practice guidelines

Jonathan Hsu¹, Jan L Brożek^{1,2}, Luigi Terracciano³, Julia Kreis⁴, Enrico Compalati⁵, Airton Tetelbom Stein⁶, Alessandro Fiocchi³ and Holger J Schünemann^{1,2*}



Grade recommendations

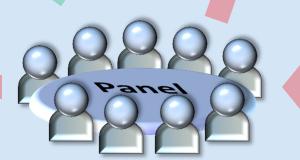
- For or against (direction) ↓↑
- Strong or conditional/weak (strength)

By considering balance of:

- Quality of evidence
 - Balance benefits/harms
 - Values and preferences

Revise if necessary by considering:

□ Resource use (cost)



quality of evidence across outcomes based on

lowest quality of *critical* outcomes

Grade overall

Guideline



Formulate Recommendations ($\downarrow\uparrow$ | \oplus ...)

- "We recommend using..." "Clinicians should..."
- "We suggest using..."
 - "Clinicians might..."
- "Clinicians ... not..." • "We suggest not using..."
- "We recommend <u>not</u> using..." | "Clinicians should not..."





Darf sie Milch trinken?



Food challenge test

Skin prick test





Skin prick test



Sollten 'skin prick tests' zur Diagnose von Kuhmilchallergien (KMA) angewandt werden?



Population (Wer?)

Intervention (Welcher test)

Comparison (Anstatt?)

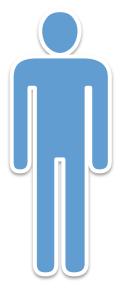
Outcomes (Wofür?)



niedrig

mittel

hoch







0%

Initiale Wahrscheinlichkeit KMA

100%

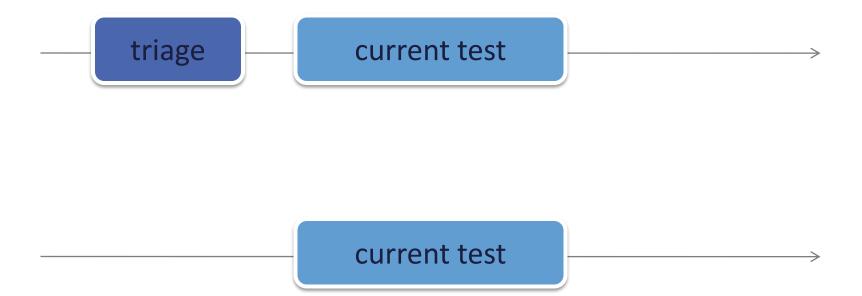


current test

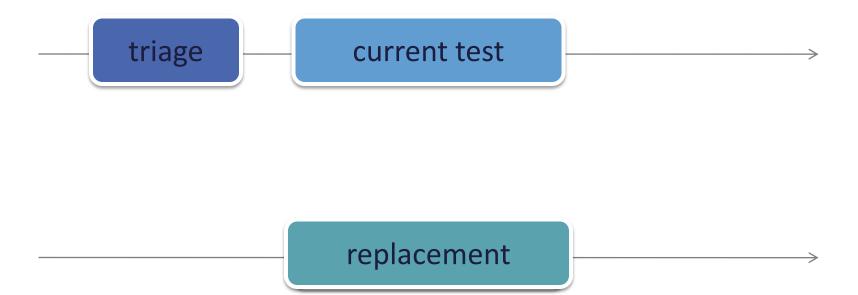


triage current test











Sollten 'skin prick tests (3 mm Reizreaktion)' als triage test bei Patienten mit Verdacht auf KMA zur Diagnose von Kuhmilchallergien (KMA) benutzt werden?



Population (Wer?)

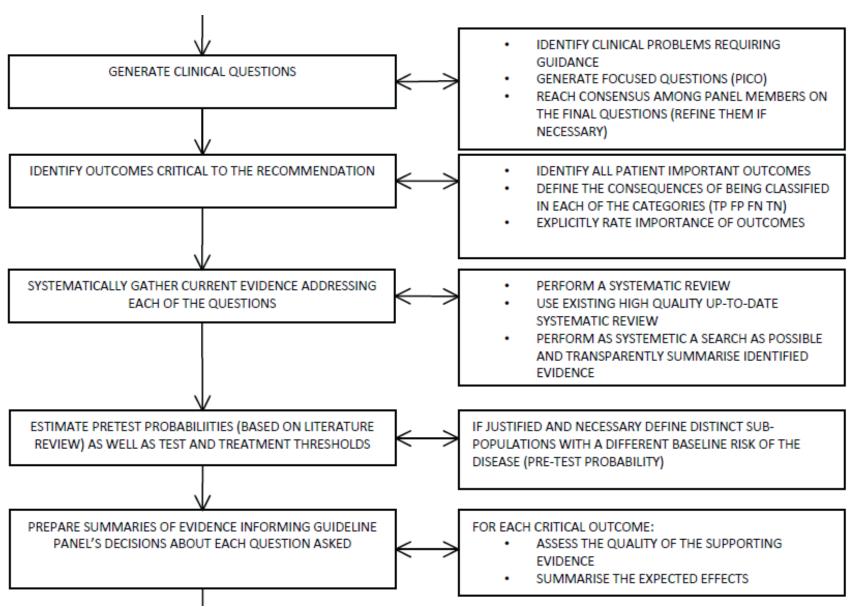
Intervention (Welcher test)

Comparison (Anstatt?)

Outcomes (Wofür?)

- Anaphylaxis
- Umstände
- Benutzung von Kuhmilchersatz
- Korrekte Diagnose verzögert
- Ressourcen







TP: the child will undergo oral food challenge which will turn out positive with risk of anaphylaxis, albeit in controlled environment; burden on time and anxiety for family; exclusion of milk and use of special formulae. Some children with high pre-test probability of disease and/or at high risk of anaphylactic shock during the challenge will not undergo challenge test and be treated with the same consequences of treatment as those who underwent food challenge.

TN: the child will receive cow's milk at home with no reaction, no exclusion of milk, no burden on family time and decreased use of resources (no challenge test, no formulae); anxiety in the child and family may depend on the family; looking for other explanation of the symptoms.

FP: the patient will undergo an oral food challenge which will be negative; unnecessary burden on time and anxiety in a family; unnecessary time and resources spent on oral challenge. Some children with high pre-test probability of CMA would not undergo challenge test and would be unnecessarily treated with elimination diet and formula that may led to nutritional deficits (e.g. failure to thrive, rickets, vit D or calcium deficiency); also stress for the family and unnecessary carrying epinephrine self injector which may be costly as well as delayed diagnosis of the real cause of symptoms.

FN: the child will be allowed home and will have an allergic reaction (possibly anaphylactic) to cow's milk at home; high parental anxiety and reluctance to introduce future foods; may lead to multiple exclusion diet. The real cause of symptoms (i.e. CMA) will be missed leading to unnecessary investigations & treatments.

Inconclusive results: (either negative positive control or positive negative control): the child would repeat SPT which may be distressing for the child and parent; time spent by a nurse and a repeat clinic appointment would have resource implications; alternatively child would have sIgE measured or undergo food challenge

Complications of a test: SPT can cause discomfort or exacerbation of eczema which can cause distress and parental anxiety; food challenge may cause anaphylaxis and exacerbation of other symptoms.

Resource utilization (cost): SPT adds extra time to clinic appointment however; oral food challenge has much greater resource implications.





(False Negative SPT result)

- Allergische (anaphylaktische) Reaktion auf Kuhmilch
- Angst der Eltern
- Verminderte Einführung von anderen Nahrungsstoffen
- Unnötige andere Untersuchungen und Behandlungen

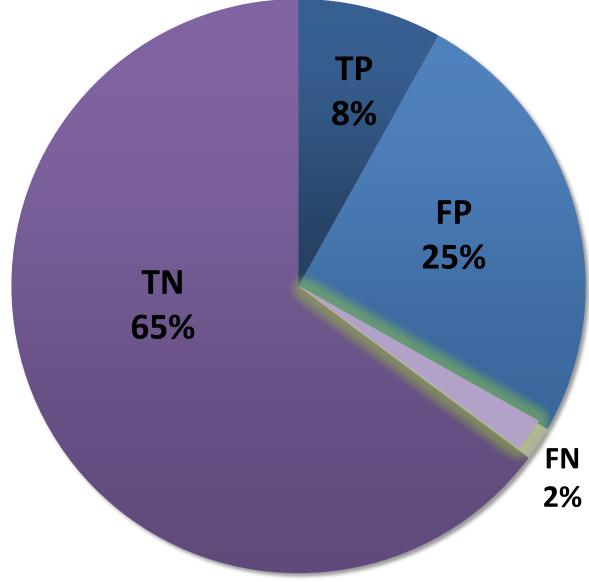


Sensitivität 0,81 (95% CI: 0,77 to 0,85)

Specifizität 0,72 (95% CI: 0,68 to 0,76)

SPT 3 mm
Initiale Wahrscheinlichkeit ~10%





SPT 3 mm
Initiale Wahrscheinlichkeit ~10%



Outcome	No. of studies	Study design	Factors that may decrease quality of evidence					Final	Effect per	
			Limitations	Indirectness	Inconsistency	Imprecision	Reporting bias	quality	100011	Importance
True positives (patients with CMA)	11 studies (1088 patients)	Consecutive or non-consecutive series	Serious ¹²	None	Serious ¹³	None	Unlikely	⊕⊕oo low	Prey 80%: 648 Prey 40%: 324 Prey 10%:81	CRITICAL
True negatives (patients without CMA)	11 studies (1088 patients)	Consecutive or non-consecutive series	Serious ²	None	Serious ³	None	Unlikely	⊕⊕00 low	Prey 80%: 144 Prey 40%: 432 Prey 10%: 648	CRITICAL
False positives (patients incorrectly classified as having CMA)	11 studies (1088 patients)	Consecutive or non-consecutive series	Serious ²	Serious ¹⁴	Serious ³	None	Unlikely	⊕000 very low	Prey 80%: 56 Prey 40%: 168 Prey 10%: 252	CRITICAL
False negatives (patients incorrectly classified as not having CMA)	11 studies (1088 patients)	Consecutive or non-consecutive series	Serious ²	None	Serious ³	None	Unlikely	⊕⊕oo low	Prey 80%: 152 Prey 40%: 76 Prey 10%: 19	CRITICAL
Inconclusive 15	Not reported	_	-	-	-	-	-	-	-	IMPORTANT
Complications	Not reported	-	-	-	-	-	-	-	-	NOT IMPORTANT
Cost	Not reported	-	_	-	-	-	-	-	-	NOT IMPORTANT

Based on combined sensitivity of 81% (95% CI: 77 to 85) and specificity of 72% (95% CI: 68 to 76)

- 1,2 Most studies enrolled highly selected patients with atopic eczema or gastrointestinal symptoms, no study reported if an index test or a reference standard were interpreted without knowledge of the results of the other test, but it is very likely that those interpreting results of one test knew the results of the other; all except for one study that reported withdrawals did not explain why patients were withdrawn.
- 3 Estimates of sensitivity ranged from 10% to 100%, and specificity from 14% to 100%; we could not explain it by quality of the studies, tests used or included population
- 4 There is uncertainty about the consequences for these patients; in some a diagnosis of other potentially serious condition may be delayed One study in a different population (children younger than 12 months) reported 8% inconclusive challenge tests but did not report number of inconclusive skin prick tests.

Outcomes	Illustrative Risks (95% CI) Assumed outcome with CT – prevalence of 20%	Number of participants (studies)	Quality of the Evidence ¹	Comments
True positives (Patients correctly classified as having coronary artery disease)	192 per 1000	1570 (21)	⊕⊕⊕○ Moderate ²	Benefit from treatment and fewer complications.* Some patients will have to undergo angiography.
True negatives (Patients correctly classified as not having coronary artery disease)	592 per 1000	1570 (21)	⊕⊕⊕ ○ Moderate ²	Benefit from reassurance and fewer complications
False positives (Patients incorrectly classified as having coronary artery disease)	208 per 1000	1570 (21)	⊕⊕⊕○ Moderate ²	Harm from unnecessary treatment
False negatives (Patients incorrectly classified as not having coronary artery disease)	8 per 1000	1570 (21)	⊕⊕⊖⊖ Low ^{2, 3}	Detriment from delayed diagnosis or myocardial insult
Complications (MI, allergic reactions, renal failure)	99 per 1000	1570 (21)	⊕⊕○○ Low²	There is a higher rate of rare complications (infarction and death) and higher cost with angiography - a full profile would be required.
Resource use* (cost of CT and Angiography)	See comment	See comment	See comment	Cost are higher for angiography,

¹⁻ Quality rated from 1 (very low quality) to 4 (high quality), 2- Cross sectional studies. Indirectness of outcomes in a wide spectrum of patients and indirect comparison of tests, 3– there is greater uncertainty whether these patients will have negative outcomes.

*Assumed efficacy of: 1) aspirin daily = 20% RRR; 2) beta-blockage = 18% RRR.





nspiring Innovation and Discover

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problem question (PIC)

outcomes (Os)

evidence systematic review

estimates of effects

quality of evidence

evidence table

solution

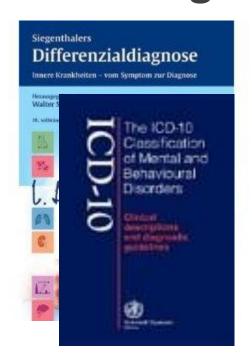
values and preferences

balance benefits & harms

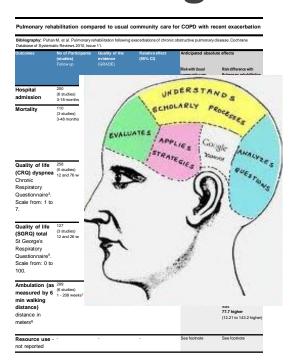
recommendation and its strength

Übersicht Diagnostische Fragestellungen





Einführung



Evidenz & Beurteilungen



Empfehlungen & Implementierung



Recommendation 1.4.

In patients with low pre-test probability of CMA we suggest using a skin prick test with a cut-off value of ≥3 mm as a triage test to avoid oral food challenge in those in whom the result of a skin prick test turns out negative.

(weak recommendation | low quality evidence)

Underlying values and preferences

This recommendation places a relatively high value on avoiding risk of anaplylaxis, burden and resource use with an OFC test (~67% challenges avoided). It places a lower value on avoiding an allergic reaction in around 1 in 25–50 patients misclassified as not having CMA while they would actually be allergic to cow's milk (2–4% false negative results).

Other examples of GRADE in diagnostic reviews and guidelines



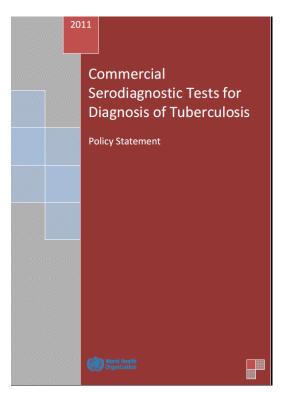
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PLOS MEDICINE

Commercial Serological Tests for the Diagnosis of Active Pulmonary and Extrapulmonary Tuberculosis: An Updated Systematic Review and Meta-Analysis

Karen R. Steingart¹, Laura L. Flores^{2,3}, Nandini Dendukuri⁴, Ian Schiller⁴, Suman Laal^{5,6,7}, Andrew Ramsay⁸, Philip C. Hopewell^{2,3}, Madhukar Pai⁴*

1 Department of Health Services, University of Washington School of Public Health, Seattle, Washington, United States of America, 2 Division of Pulmonary and Critical Care Medicine, San Francisco General Hospital, University of California, San Francisco, California, United States of America, 3 Curry International Tuberculosis Center, University of California, San Francisco, California, United States of America, 4 Department of Epidemiology, Biostatistics, and Occupational Health, McGill University & Montreal, Chest Institute, Montreal, Quebec, Canada, 5 Department of Pathology, New York University Langone Medical Center, New York, New York, United States of America, 6 Department of Microbiology, New York University Langone Medical Center, New York, United States of America, 7 Veterans Affairs Medical Center, New York, United States of America, 8 UNICEF/UNDP/World Bank/WHO Special Programme for Research and Training in Tropical Diseases, World Health Organization, Geneva, Switzerland





Annahmen und Beurteilungen

Example of new test and reference test or strategy	Putative benefit of new test	Diagnostic accuracy		Patient Outco	Balance between presumed patient outcomes, test complications and cost			
		Sensitivity	Specificity	True	True	False	False	
	01 1			-	positives negatives positives negatives			
A shorter	Simpler	equal	equal		Presumed influence on patient important outcomes			
version of a	test, less			Uncertain	Almost	Likely	Possible	shorter time
dementia	time			benefit from	certain	anxiety and	detriment	and similar
test				earlier	benefit from	possible	from	test accuracy
compared				diagnosis and	reassurance	morbidity	delayed	(and thus
with the				treatment		from	diagnosis	patient
original						additional		outcomes)
Mini Mental						testing and		would
State Exam						treatment		generally
for				Directness	support the			
diagnosis of					new test's			
dementia					usefulness			
				Some	No	Some	Major	
				uncertainty uncertainty uncertainty uncertainty				

Annahmen und Beurteilungen



Example of new test and reference test or strategy	Putative benefit of new test	Diagnostic	·	Patient Outco	Balance between presumed patient outcomes, test complications and cost			
		Sensitivity	Specificity	True	True	False	False	
				positives	negatives	positives	negatives	
Helical CT	Detection	greater	equal		nfluence on pat			Less
for renal	of more			Certain	Almost	Likely	Likely	complications
calculus	(but			benefit for	certain	detriment	detriment	and
compared	smaller)			larger stones,	benefit from	from	for large	downsides
with	calculi			for smaller	avoiding	unnecessary	stones, less	compared to
intravenous				stones the	unnecessary	additional	certain for	IVP would
pyeolgram				benefit is less	tests	invasive	small	support the
				clear and		tests	stones, but	new test's
				unnecessary			a possible	usefulness,
				treatment			detriment	but the
				can result			from	balance between
							unnecessary additional	desirable and
							invasive	undesirable
							tests for	effects is not
							other	clear in view
							potential	of the
							causes of	uncertain
							complaints	consequences
				Directness	of the evidence	(test results) fo		of identifying
				Directifess	smaller			
				Some	stones.			

Zusammenfassung



- 'Diagnostic accuracy'/Testgüte bedarf Evaluierung im Zusammenhang mit Konsequenzen
 - TP, FP, TN, FN, Ressourcen, Testnebenwirkungen
- Qualitätsbeurteilung muss sich auf alle Glieder in der Kette beziehen
 - Explizite Bewertung der Evidenz Konsequenzen
 - Systematische Übersichtsarbeiten Transparenz in den Annahmen
- Ansätze vorhanden, Pilotprojekte

