

# *Rolle von Wirksamkeitsnachweis und klinischer Relevanz im Rahmen der Zulassungsentscheidung*

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**Vizepräsident**

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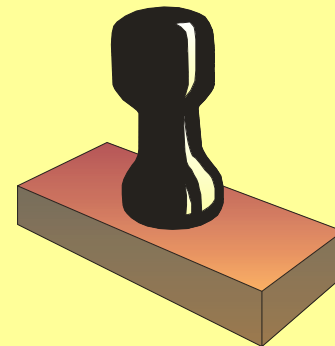


# Gliederung

- Aufgaben des BfArM in der Arzneimittelzulassung
- Standards im Wirksamkeitsnachweis
- Nutzen-Risiko-Bewertung
- Efficacy vs. Effectiveness
- Ausblick

# „Ideales Arzneimittel“

- **wirksam** unter experimentellen Studienbedingungen und im therapeutischen Alltag
- **verträglich** (ohne Nebenwirkungen)
- **sicher** (ohne gravierende Risiken)
- **Zulassung erfolgt nach positiver Nutzen-Risiko-Bewertung**



# Aufgaben des BfArM nach AMG

- § 1
  - Qualität, Wirksamkeit und Unbedenklichkeit
- § 8
  - es dürfen keine Wirkungen oder Wirksamkeit beigelegt werden, die nicht nachgewiesen sind
- § 25
  - Nachweis von Wirksamkeit und Unbedenklichkeit nach dem gesicherten Stand der wissenschaftlichen Erkenntnis
- § 26
  - Arzneimittelprüfrichtlinien zur Konkretisierung

# Regulatory Dilemma ...

**Efficacy**

**Clinical Relevance**

**Comparative Effectiveness**

**Relative Efficacy**

**Benefit-Risk-Assessment**

**Early Access**

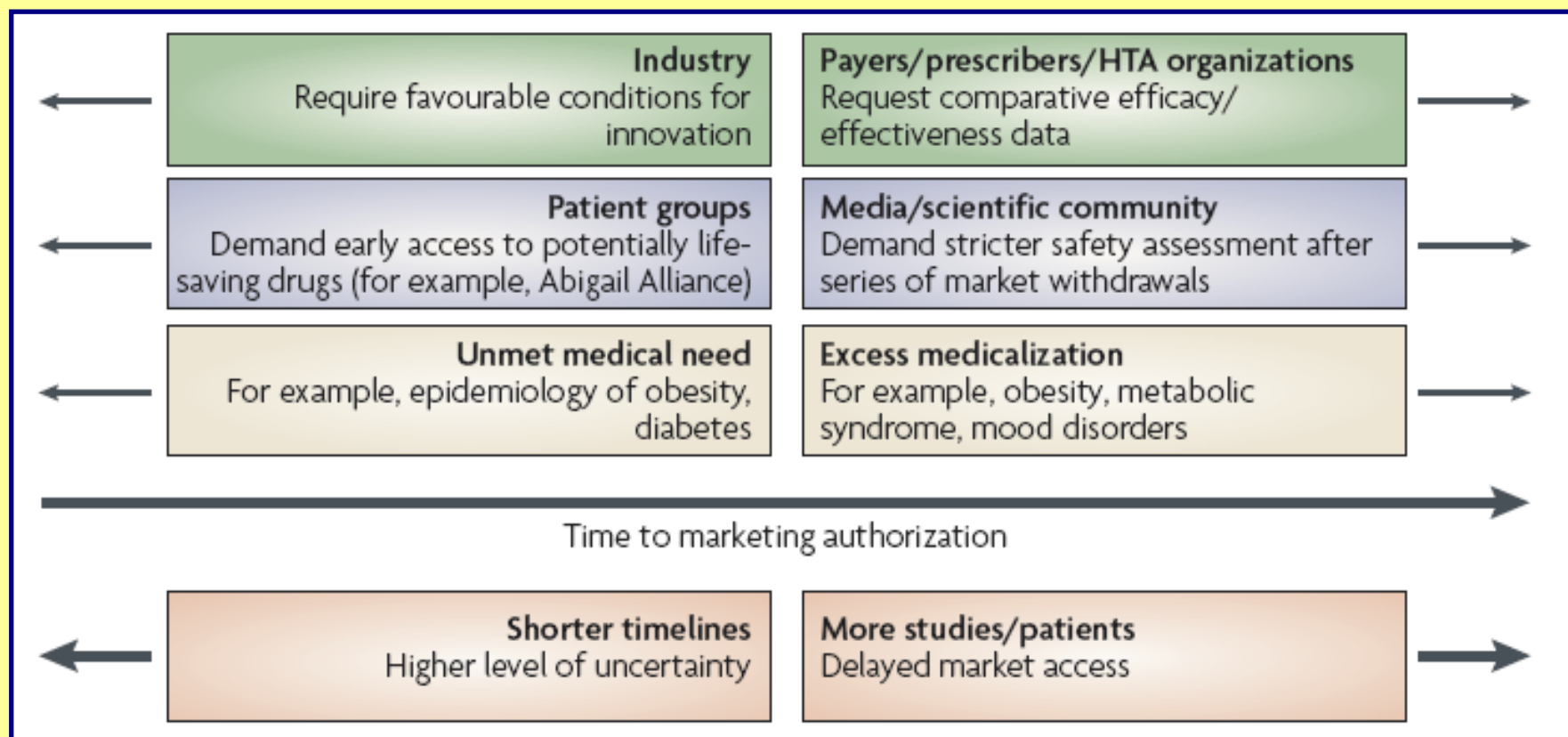
**Risk Management**

**Effectiveness**

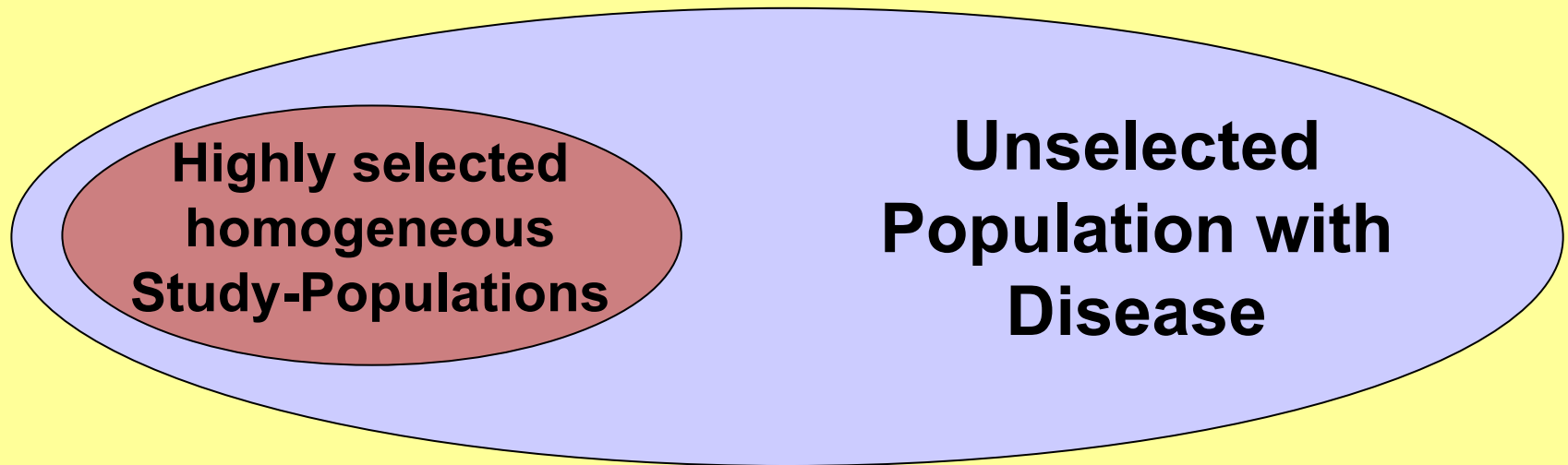
**Cost Effectiveness**

# Regulatory Dilemma ...

from: Eichler HG et al., NRDD 2008



# Study Populations in Clinical Trials



➔ **Specificity >> Sensitivity**

➔ **Generalisability ?**

➔ **Labeling ?**

# Note for Guidance / Scientific Advice

- **Short-term Studies**
  - Placebo control
  - Three-arm-studies with active control and placebo
  - Duration
- **Maintenance/Long-term Studies**
  - Randomized withdrawal design (relapse prevention)
- **Endpoints**
  - Rating-scales
  - Means vs. responders
- **Specific Groups**
  - Severe forms
  - Elderly
  - Children and adolescents
  - Therapy-resistant patients
  - Negative or cognitive symptoms



# Plazebo-kontrollierte Studien

<b>Vorteile</b>	<b>Nachteile</b>
<ul style="list-style-type: none"><li>•ermöglicht Abschätzung der Assay-Sensitivität und damit interne Validierung der Studie</li><li>•Abschätzung der klinischen Relevanz besser möglich</li><li>•Stichprobenumfang geringer</li><li>•Rekrutierung schneller</li><li>•Studienkosten geringer</li></ul>	<ul style="list-style-type: none"><li>•evtl. erhöhtes Risiko durch „Nichtbehandlung“</li><li>•Verzögerung der Behandlung</li><li>•evtl. stärker eingeschränkte Generalisierbarkeit der Ergebnisse auf die Grundgesamtheit</li></ul>

# Aktiv-kontrollierte Studien

<b>Vorteile</b>	<b>Nachteile</b>
<ul style="list-style-type: none"><li>• Daten zu relativer Wirksamkeit und Verträglichkeit</li><li>• zumindest theoretisch keine inaktive Behandlung</li><li>• weniger Therapieabbrüche wegen mangelnder Wirksamkeit</li><li>• evtl. eher akzeptabel bei Ethik-Kommissionen</li></ul>	<ul style="list-style-type: none"><li>• wegen fehlender Assay-Sensitivität Risiko falsch positiver Studien</li><li>• Äquivalenz/Nicht-Unterlegenheit nicht als Wirksamkeitsnachweis geeignet</li><li>• aktiver Komparator evtl. kein Therapiestandard</li><li>• mehr Therapieabbrüche wegen unerwünschter Wirkungen</li><li>• Tendenz Wirksamkeitsunterschiede zu minimieren</li><li>• Stichprobenumfang größer</li><li>• höhere Studienkosten</li></ul>

# Short-term Studies in Schizophrenia

- **Parallel, double blind, randomized and controlled trials necessary**
  - in general 6 week duration
- **Choice of control**
  - Placebo
  - Active comparator
  - Fixed dose studies
  - Choices must be justified by the applicant
- **Three-arm or multi-arm studies preferred**
  - Assay sensitivity

# Study Designs in Schizophrenia

- **Three adequate and well controlled designs possible:**
  - **Placebo control**                      **Superiority**
  - **Dose comparison**                      **Superiority**
  - **Active control**                      **Superiority or  
Equivalence/Non-Inferiority**
- **Non-Inferiority Design tested in most cases**
  - **New drug is not inferior by more than some predefined amount**                      **→**
  - **Non-Inferiority Margin**
    - **To define this margin „assay sensitivity“ has to be known**

# Short-term Studies

**PANSS Total Score; Model-Based Mean Change from Baseline at Endpoint; LOCF Data Set, Efficacy Sample; Key Phase III, Short-Term, Placebo-Controlled Efficacy Studies for Schizophrenia**

Protocol/ Treatment	N	Baseline	PANSS Total Score		
			Change from Baseline	Treatment Difference (95% CI) versus Placebo	P-Value
<b>31-97-201 (4-week study)</b>					
Placebo	102	100.9	-2.9	--	--
Haloperidol 10 mg	99	99.9	-13.8	-10.8 (-17.2, -4.5)	0.0008
Aripiprazole 15 mg	99	98.8	-15.5	-12.6 (-18.9, -6.3)	0.0001
Aripiprazole 30 mg	100	99.6	-11.4	-8.5 (-14.7, -2.2)	0.0089
<b>31-97-202 (4-week study)</b>					
Placebo	103	94.1	-5.0	--	--
Risperidone 6 mg	95	92.6	-15.7	-10.7 (-16.6, -4.9)	0.0004
Aripiprazole 20 mg	98	93.5	-14.5	-9.6 (-15.4, -3.8)	0.0013
Aripiprazole 30 mg	96	91.6	-13.9	-8.9 (-14.8, -3.1)	0.0029
<b>CN138-001 (6-week study)</b>					
Placebo	107	92.6	-2.3	--	--
Aripiprazole 10 mg	103	92.9	-15.0	-12.7 (-19.0, -6.4)	0.0001
Aripiprazole 15 mg	103	92.4	-11.7	-9.4 (-15.7, -3.1)	0.0036

# Short-term Studies

**Table 10: Treatment assignments in the four short-term phase III studies.**

	Treatment Group						Olanzapine 10mg/day
	Placebo	ER OROS Paliperidone (mg/day)					
		3 mg	6 mg	9 mg	12 mg	15 mg	
<b>Key Efficacy Studies in Subjects at Least 18 years of age with Schizophrenia</b>							
R076477-SCH-303	X		X	X	X		X
R076477-SCH-304	X		X		X		X
R076477-SCH-305	X	X		X		X	X
<b>Safety and Tolerability Study in Elderly Subjects with Schizophrenia</b>							
R076477-SCH-302	X		3 mg to 12 mg/day				Not Included

# Assessment of Efficacy in Short-term Studies of Schizophrenia

- **Statistical Significance and Clinical Relevance needed**
- **Endpoints:**
  - Primary: PANSS or BPRS
  - Secondary: CGI
- **Difference between Baseline and Post-Treatment-Score**
- **30 % Improval on Standard Ratings is Considered Clinical Relevant**

# Responder Analysis: 30% improvement in PANSS-Scores

In the responder analyses significantly more responders were observed in all paliperidone groups (56%, 51% and 61% in the 6 mg, 9 mg and 12 mg groups, respectively,  $p < 0.001$  for all doses) compared to the placebo group (30%).

In the responder analyses significantly more responders were observed in both paliperidone groups (50%,  $p = 0.025$  and 51.4%,  $p = 0.012$  in the 6 mg and 12 mg groups, respectively) compared to the placebo group (34.3%).

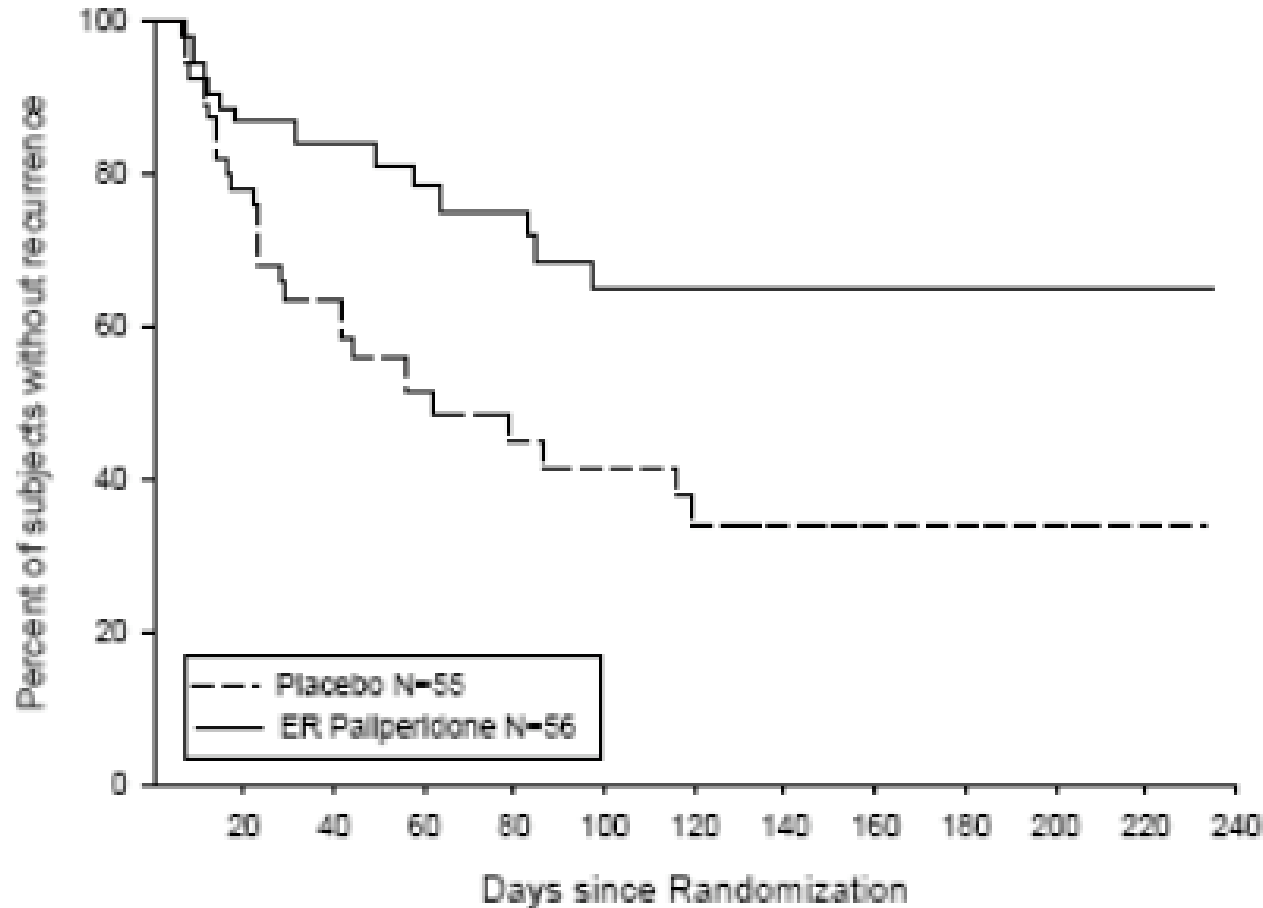
In the responder analyses significantly more responders were observed in all paliperidone groups (40%, 46% and 53% in the 3 mg, 9 mg and 15 mg groups, respectively,  $p \leq 0.001$  for all doses) compared to the placebo group (18%).



# Maintenance of Effect

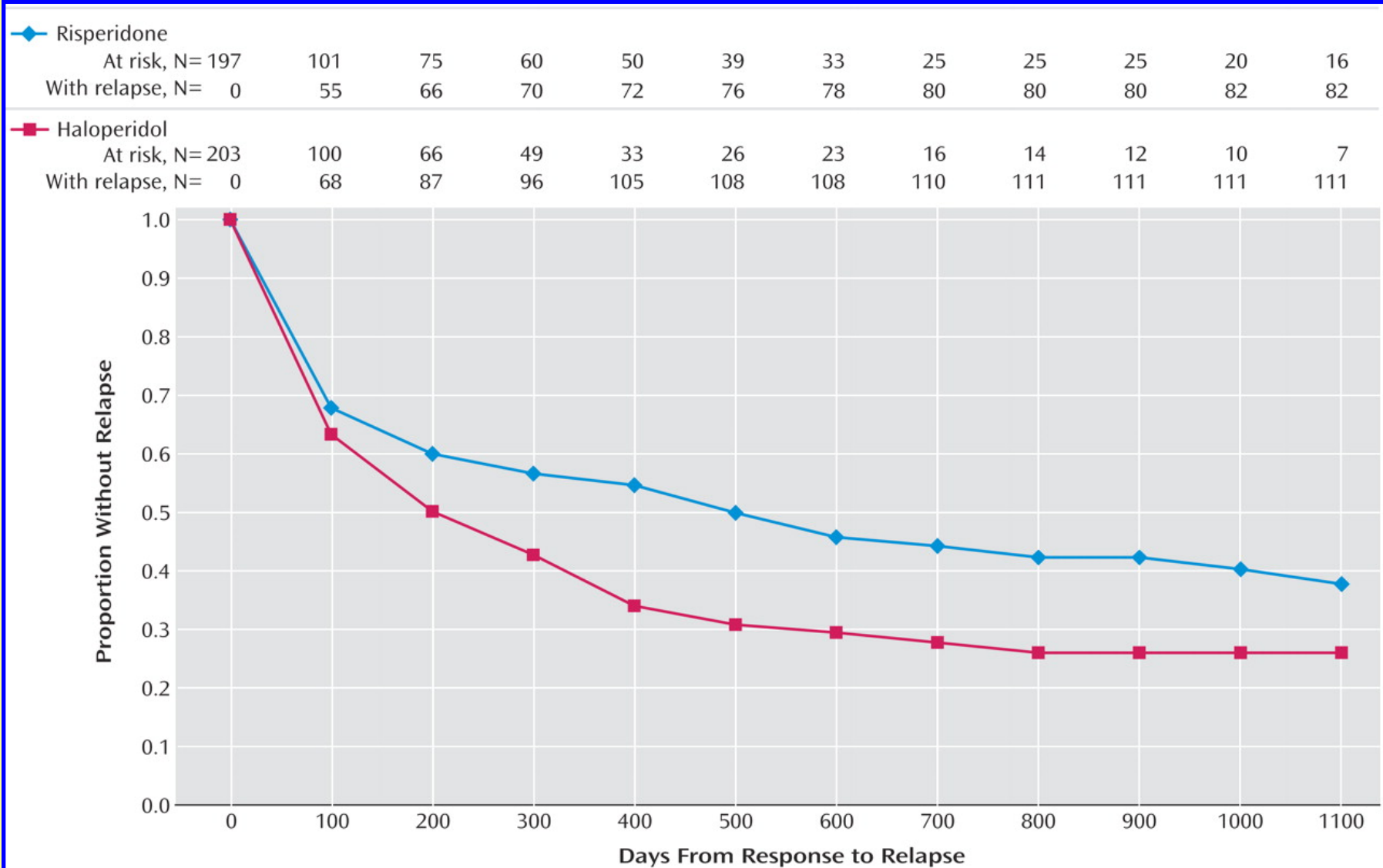
- **Short-term effects should be maintained during the episode**
- **Randomized withdrawal study (relapse prevention study) is the preferred design**
- **Duration: at least 6 months**
- **Placebo-controlled extension study possible alternative, but not preferred**

# Randomized Withdrawal Study



**Figure 12:** Time to recurrence in Study SCH-301. ITT population, interim analysis.

# Randomized Withdrawal Study



# Schizophrenia: *Negative* or *Cognitive* Symptoms as Target for a Drug Treatment Claim

- **Both are considered as domains**
  - with an unmet medical need
  - which are not „pseudospecific“, but phenomenologically distinct from other symptoms
- **Overlap between these domains**
  - More data needed
  - Overlap would weaken possibility of separate claims
- **Do negative or cognitive results respond differently to standard antipsychotics**
  - In both domains results are disappointing

# Schizophrenia: **Cognitive** Symptoms as Target for a Drug Treatment Claim

- **Population:**
  - Distinct „Cognitive Impairment“ in patients with schizophrenia should be further established
  - Generalizable to community
- **Phase of the illness:**
  - In stable residuum
- **Domain:**
  - Spectrum of cognitive symptoms as a single target clearly preferred (MATRICS; CNTRICS; CANTAB; BACS a.o.)
  - Not enough data to focus on specific subtypes/targets
- **Co-Primary Endpoint:**
  - **Functional outcome mandatory**

# **Functional Outcome** as Co-Primary Endpoint

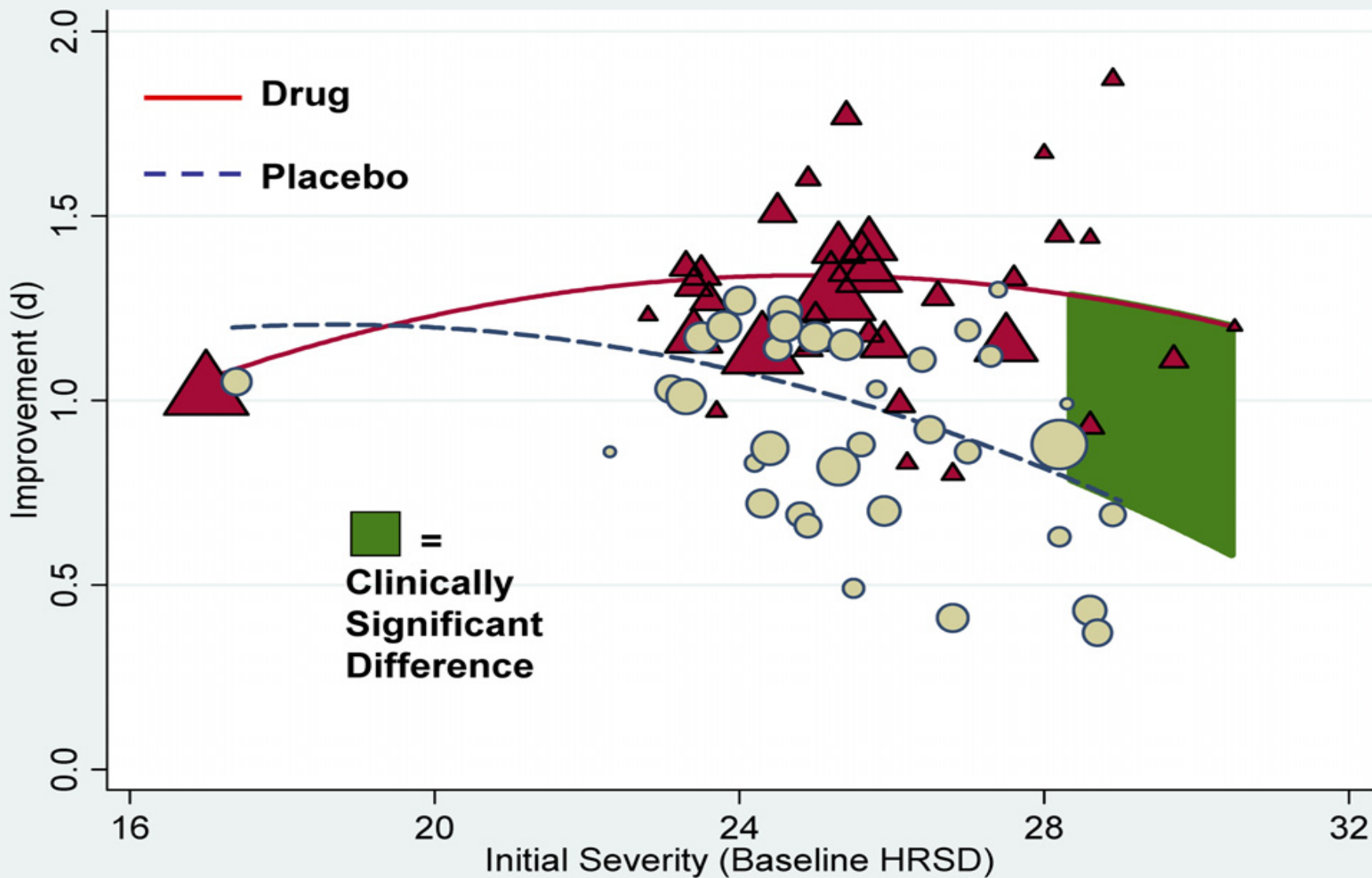
- **Validated instruments for this population:**
  - Yet no ideal instrument available
  - Transferable to „real world“ in the community
  - Cross-cultural adaptability
  
- **Design Issues:**
  - Broad spectrum agents vs. narrow target
    - „add-on“ vs. „monotherapy“
  - Choice of control group
    - Placebo
    - Active control
  - Study duration
    - 6 months or longer
    - Maintenance of effect

# Initial Severity and Antidepressant Benefits: A Meta-Analysis of Data Submitted to the Food and Drug Administration

Irving Kirsch<sup>1\*</sup>, Brett J. Deacon<sup>2</sup>, Tania B. Huedo-Medina<sup>3</sup>, Alan Scoboria<sup>4</sup>,  
Thomas J. Moore<sup>5</sup>, Blair T. Johnson<sup>3</sup>

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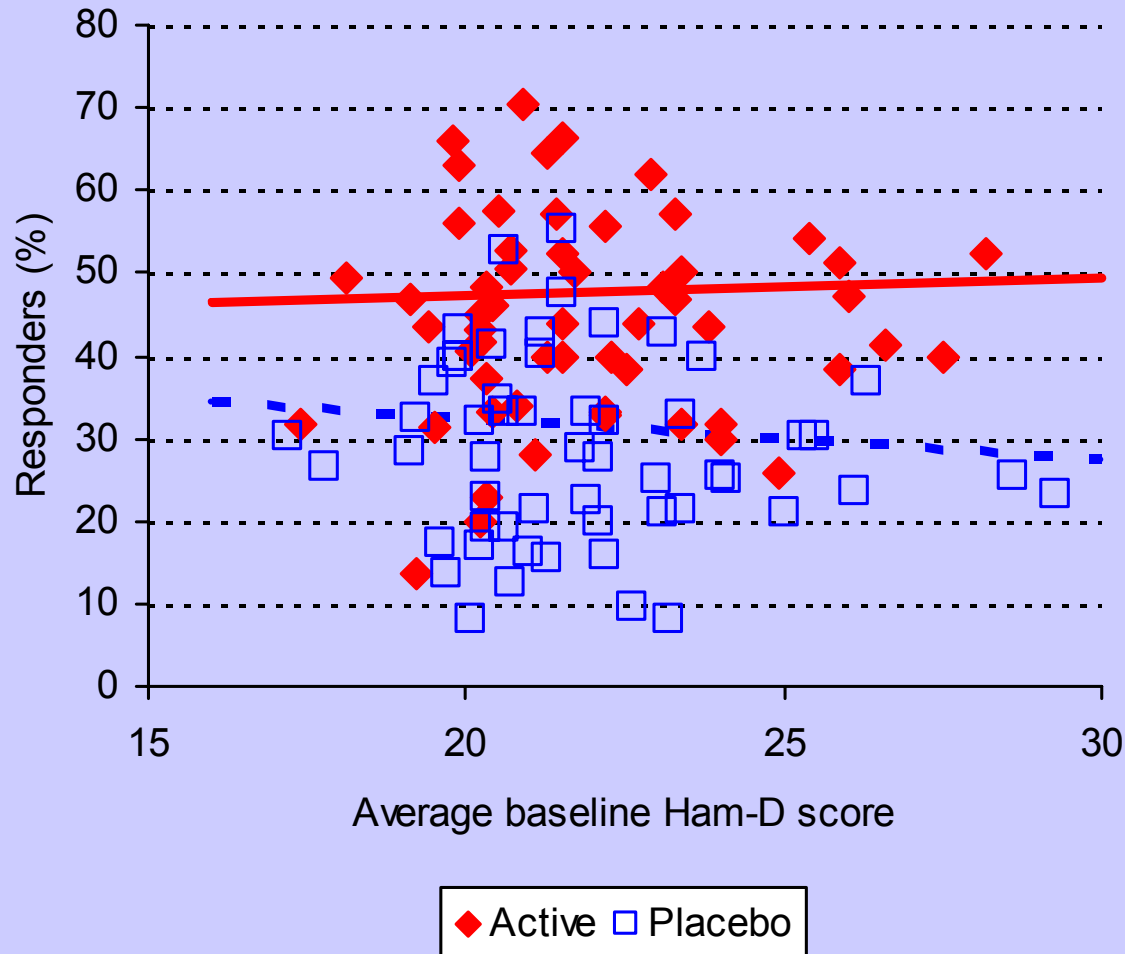
## The placebo controlled database at approval for SSRIs and SNRIs (Melander H. et al.)

Substance	Year of submission	Number studies	Total number of patients	Average baseline Ham-D score	
				Mean	Range
Paroxetine	1990	15*	1133	21.4	19.6; 24.1
Fluvoxamine	1989	5	409	22.6	19.7; 26.3
Citalopram	1992	5	485	23.8	22.7; 26.4
Sertraline	1993	4	914	23.2	20.5; 25.5
Fluoxetine	1984	8	553	22.0	19.3; 28.4
Escitalopram	2001	4	1181	21.2	19.5; 21,4
Venlafaxine	1992, 1996	9**	1493	21.4	19.5; 28.4
Duloxetine	2003	6	1242	19.8	17.6; 21.3
<b>Total</b>		<b>56</b>	<b>7410</b>	<b>21.6</b>	<b>17.6; 28.4</b>

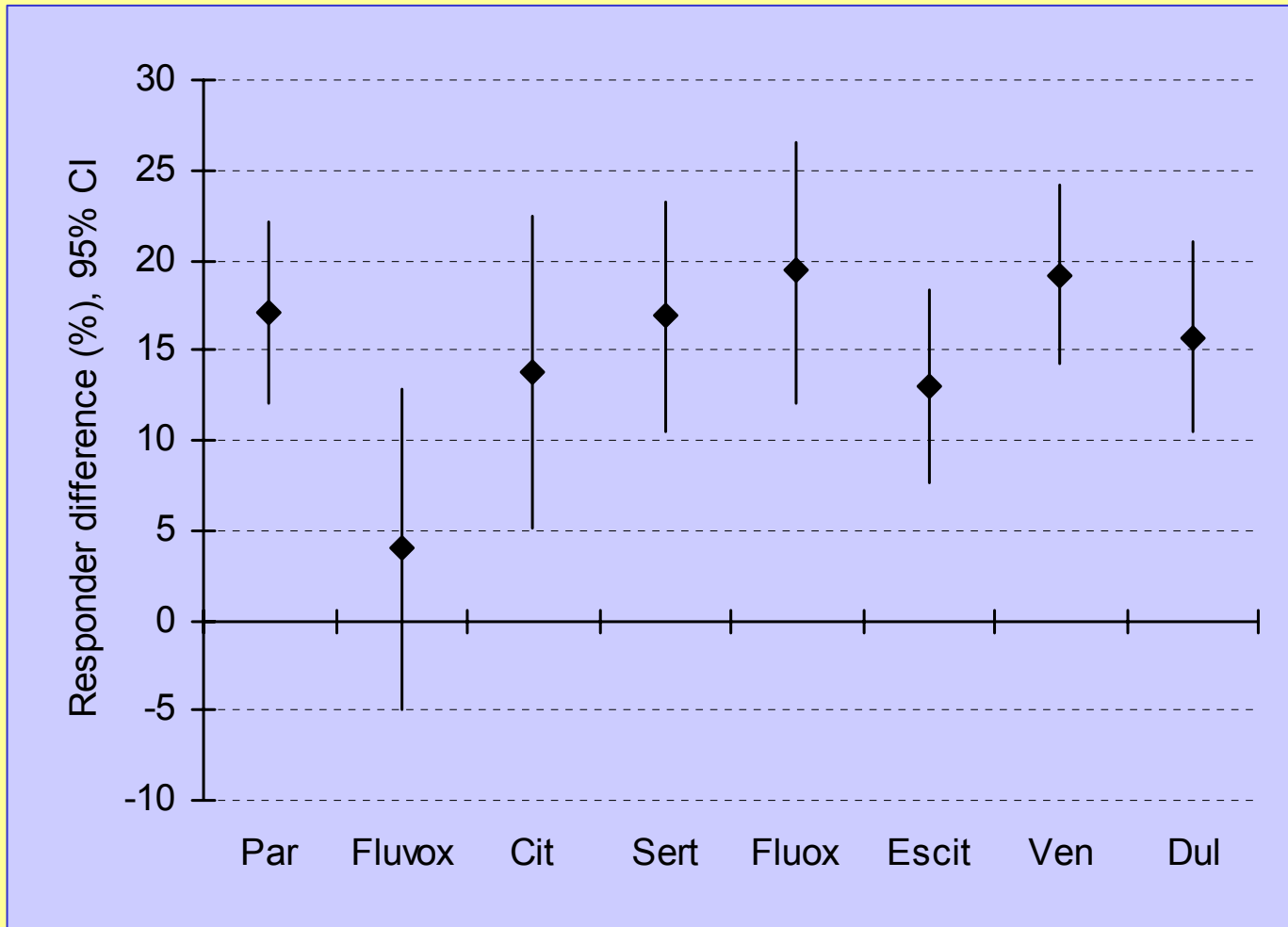
\*) One additional paroxetine study with 29 patients in total did not report responder results.

\*\*\*) Immediate release formulation: 6 studies; Extended release formulation: 3 studies.

# Responder rates (Melander H. et al.)



## Overall difference in percentage of responders between active drug and placebo (Melander H. et al.)

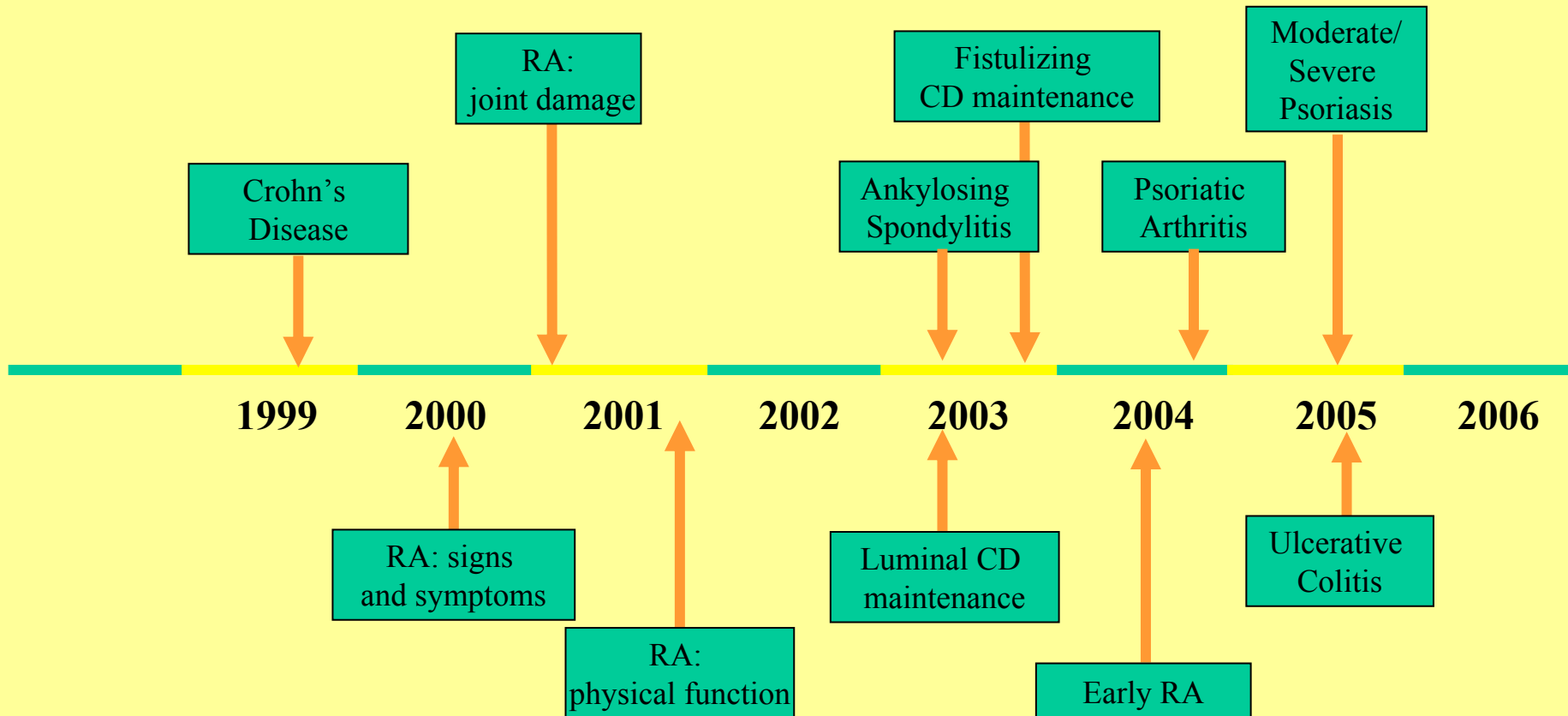


# Rel. Efficacy Data already available...

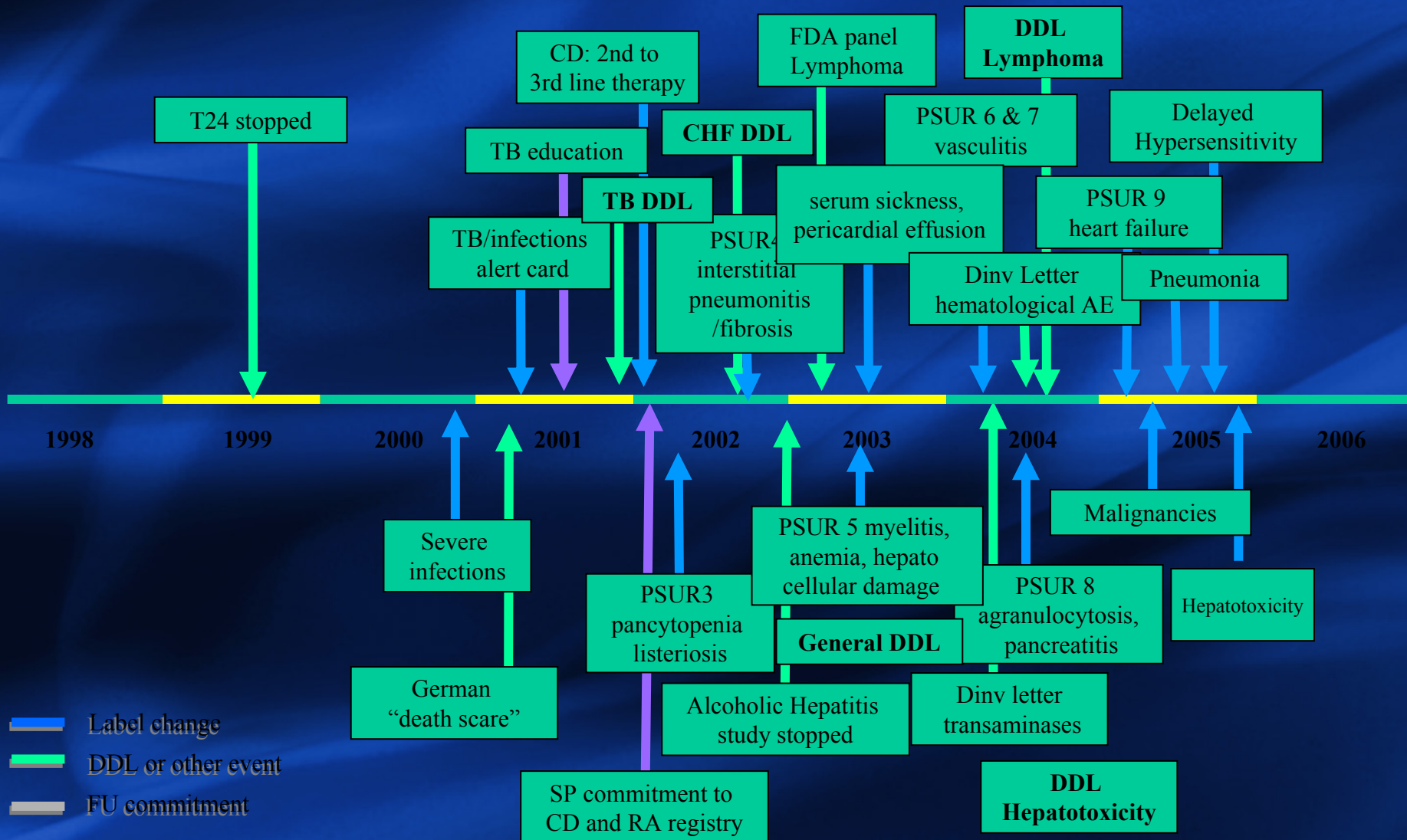
from: Eichler HG et al., NRDD 2010

Type of RE described	FDA medical review n out of 42 (%)	EPAR n out of 47 (%)
Active comparator trial of clinical efficacy in the medical review or EPAR	17 (40.5%)	24 (51.1%)
Active comparator trial of clinical efficacy in the label or SPC	13 (31.0%)	16 (34.0%)
Active comparator information on efficacy derived from an RCT with an active comparator and placebo group	2 (4.8%)	3 (6.4%)
Active comparator information on efficacy derived from an RCT with an active comparator group, but without placebo group	15 (35.7%)	21 (44.7%)
Superiority over active comparator was shown in a head-to-head RCT	1* (2.4%)	10* (21.3%)
Active comparator licensed in the relevant indication in the respective agency's jurisdiction?	15 <sup>‡</sup> (35.7%)	24 <sup>‡</sup> (51.1%)
Summary data of the active comparator trial(s) presented numerically (for example, mean, median, confidence intervals) in the medical review or EPAR	12 (28.6%)	24 (51.1%)

# Evolution of Remicade (EU): Efficacy

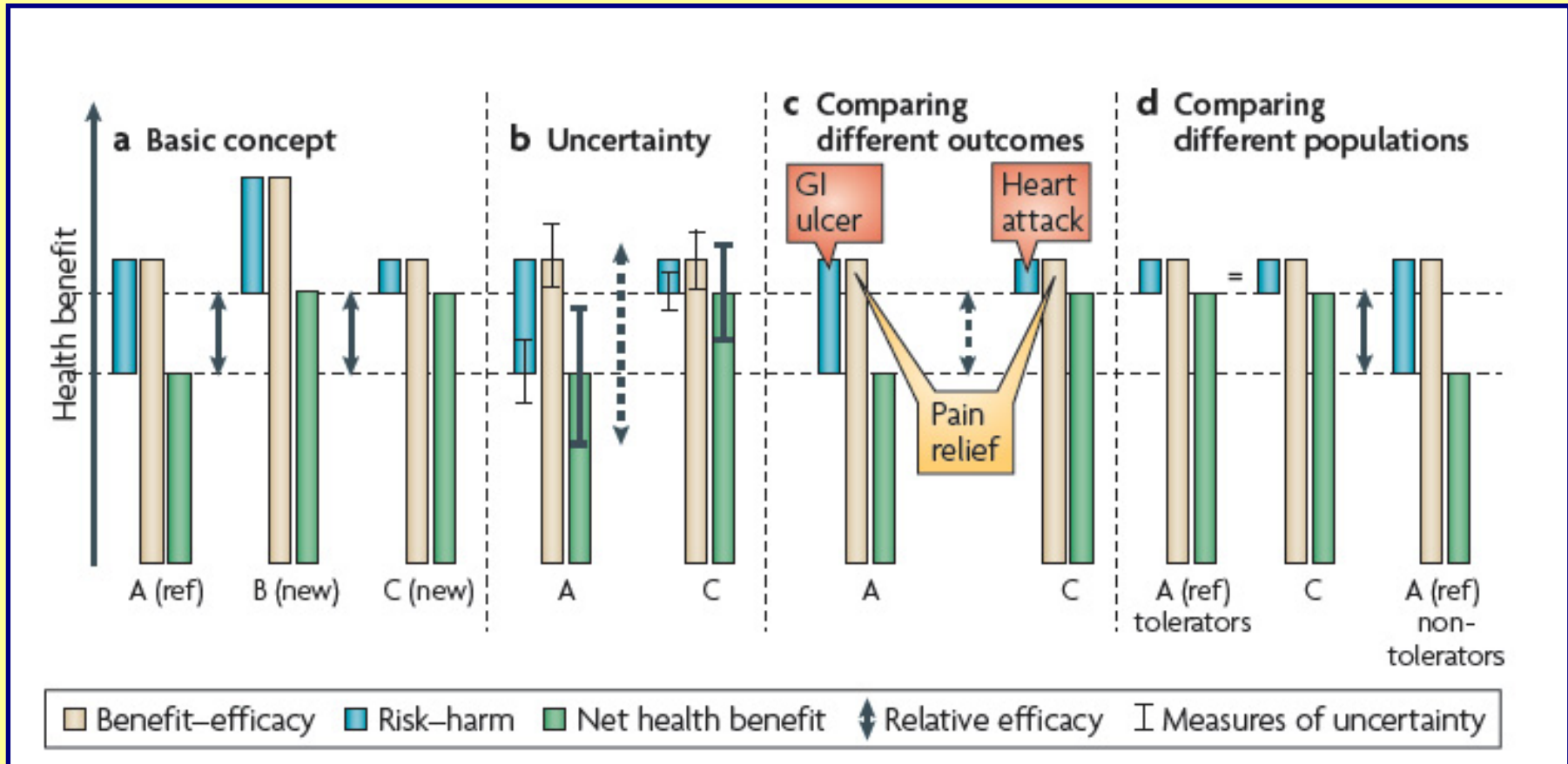


# Evolution of Remicade (EU): Safety



# Concept and possible pitfalls of relative efficacy assessment

from: Eichler HG et al., NRDD 2010



## Wirksamkeit („efficacy“) vs. Nützlichkeit („effectiveness“)

- **„Efficacy“**
  - Reine Wirksamkeit einer Intervention unter kontrollierten Bedingungen
  - Goldstandard: randomisiert, kontrolliert, doppelblind mit hoher interner aber niedrigerer externer Validität
- **„Effectiveness“**
  - tatsächliche Wirkung einer Intervention unter realen Praxisbedingungen mit niedrigerer interner aber größerer externer Validität
- **Was können wir aus dem jeweiligen Ergebnis lernen?**



## Effectiveness-Studien bei psychiatrischen Indikationen

- CATIE (Clinical Antipsychotic Trials of Intervention Effectiveness):
    - Schizophrenie
    - Alzheimer Erkrankung
  - STAR\*D (Sequenced Treatment Alternatives to Relieve Depression)
  - STEP-BD (Systematic Enhancement Program for Bipolar Disorder)
- 
- CUtLASS (Cost Utility of the Latest Antipsychotic Drugs in Schizophrenia Study)
  - EuroSC (European Schizophrenia Cohort)
  - SOHO (Schizophrenia Outpatient Health Outcomes)

# **Efficacy-Studien aus regulatorischer Sicht**

- **sind notwendig**
- **Efficiency-Studien sind ohne bekannte „assay sensitivity“ und wegen anderer methodischer Mängel nicht eindeutig interpretierbar**
  - **Fallzahlen !**
- **Grundlage für Zulassungsentscheidungen von BfArM, EMEA, und FDA weiterhin nur mit Efficacy-Studien als Gold-Standard !!!**
- **Projekt mit „quantitativen“ Bewertungsansätzen**

# Possible Challenges ...

from: Eichler HG et al., NRDD 2010

